

Name of Faculty	Dr. Dalbir		
Discipline	Management (MBA)		
Semester	Third Semester (III)		
Subject	Banking and Financial Services (FM-304)		
Lectures Required	Topic (Including Assignment/Test)	Practical Day	Topic (Including Assignment/Test)
4	Overview of financial services Environment and importance of financial services in an economy Evaluation and role of financial services companies in India Securitization concept – securitization as a funding mechanism Securitization in India	N/A	N/A
1	<b>Case study (A US Regional Bank reinvents collections using AI/ML)</b>		
2	Merchant banking – nature and scope Regulation of merchant banking activity SEBI guidelines for public issues	N/A	N/A
1	<b>Case study (IPO YEAR 2017)</b>		
8	Introduction to equipment leasing – introduction, history and development of leasing, concept and classification Evolution of Indian leasing industry  Legal aspects of leasing, tax aspects of leasing, appraisal criteria, risks in leasing business Lease evaluation – the lessee’s angle, the lessor’s angle Hire purchase – concept, characteristics, mathematical evaluation, legal, tax and accounting aspects Leasing vs. hire-purchase	N/A	N/A
1	<b>Leasing vs. hire-purchase in banking industry- An Analysis</b>		
5	Mutual funds (MFs) – evolution, types, regulation of MFs, organization, structure Performance evaluation Tax treatment of MF schemes MF in India	N/A	<b>Assignment on performance evaluation</b>
8	Credit rating – concept, rationale, process, methodology SEBI regulations for credit rating  Consumer finance – role of consumer credit in the financial system, features, legal framework Credit screening methods, innovative structuring of consumer credit transactions Factoring disputes Factoring vs. forfeiting Factoring <i>vis-à-vis</i> bill discounting	N/A	<b>Assignment on credit rating process</b>

	Bought Out Deals: Meaning and Nature; Mechanisms of Bought out Deals; advantages; The Present Scenario		
<b>2</b>	<b>Analysis of factsheet of mutual funds</b>		
<b>3</b>	Venture capital (VC) – nature and scope, role of venture capitalists and private equity firms Types of venture capital funds VC investment process Evaluation criteria, limitations VC in India	N/A	N/A
<b>2</b>	Depository: Concept, Depository participants Functions of depository system Benefits of depository	N/A	N/A
<b>1</b>	<b>NSDL vs CDSL</b>		